

Regular Cost Functions on Infinite Trees

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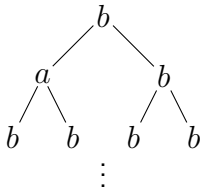
Outline

- 1 Introduction: Parity Index and Cost Functions
- 2 Regular Cost Functions over Infinite Words and Trees
- 3 Transforming between different automaton models

Parity Nondeterministic Tree Automata (PNTA)

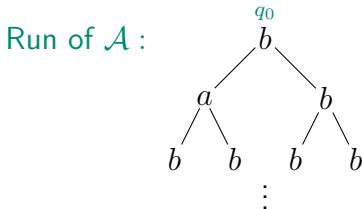
- Automata $\mathcal{A} = (Q, \Sigma, q_0, \Delta, pri)$ on infinite binary trees
- Transitions of the form (q, a, q', q'')

Run of $\mathcal{A}$:



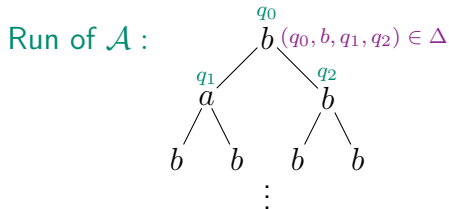
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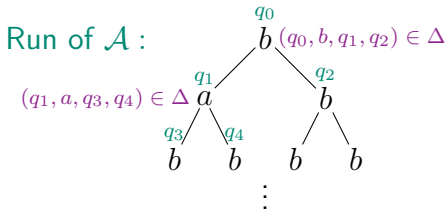
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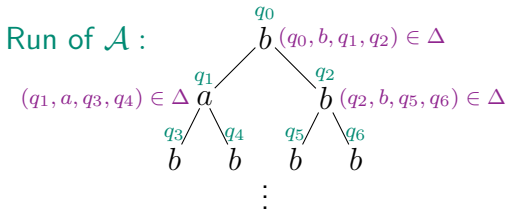
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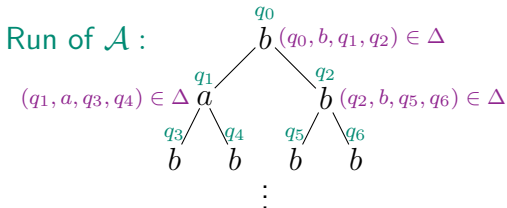
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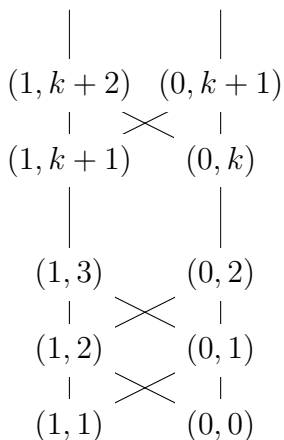
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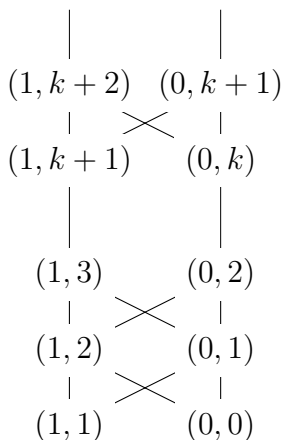
- Priority function $pri : Q \rightarrow \mathbb{N}$
- Run accepting if on each path the maximal priority appearing infinitely often is even.

Mostowski Hierarchy



The **parity index** of $\mathcal{A}$ is the pair (i, j) of highest and lowest priority it uses

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Deciding the levels of the hierarchy:

Given: Language T and $i < j$

Question: Is there an automaton of index (i, j) for T ?

An Approach for a Decision Procedure

Theorem [CL08a]. The nondeterministic parity index problem can be effectively reduced to a limitedness problem for regular cost functions over infinite trees (defined by B-parity tree automata).

Given: PNTA $\mathcal{A}$ and target interval $[i, j]$

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B-PNTA $\mathcal{B}$ with priorities $[i, j]$ s.t.
function computed by $\mathcal{B}$ is bounded



$L(\mathcal{A})$ can be accepted by PNTA with priorities $[i, j]$

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Costs, counters, B-, and S-semantics

- set $\Gamma = \{1, \dots, k\}$ of counters
- set $Op = \{\mathbf{i}, \mathbf{r}, \mathbf{c}\}$ of operations **increment**, **reset**, and **check**
- sequence w of counter operations $\gamma : \Gamma \rightarrow Op^*$

B-semantics:

$$cost^B(w) = \sup\{\text{counter values on } \mathbf{c}\text{-positions in } w\}$$

S-semantics:

$$cost^S(w) = \inf\{\text{counter values on } \mathbf{c}\text{-positions in } w\}$$

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- Adding an acceptance condition, β infinite sequence of priorities:

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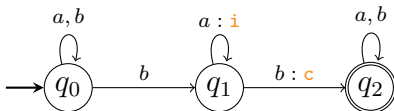
$$cost^B(\beta, w) = \begin{cases} \infty & \text{if } \beta \text{ does not satisfy the parity condition} \\ \sup\{\text{counter values on } \mathbf{c}\text{-positions}\} & \text{otherwise} \end{cases}$$

S-semantics:

$$cost^S(\beta, w) = \begin{cases} 0 & \text{if } \beta \text{ does not satisfy the parity condition} \\ \inf\{\text{counter values on } \mathbf{c}\text{-positions}\} & \text{otherwise} \end{cases}$$

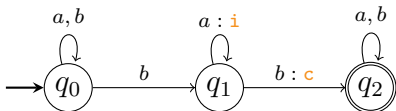
Cost functions on infinite words

Extend transitions of nondeterministic Büchi, parity, ... automata over infinite words by counter operations: (q, a, q', γ) with $\gamma : \Gamma \rightarrow Op^*$



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Cost of an infinite input word α is

B-semantics: $\mathcal{A}^B(\alpha) = \inf_{\rho} cost^B(\rho)$

S-semantics: $\mathcal{A}^S(\alpha) = \sup_{\rho} cost^S(\rho)$

(where ρ ranges over the runs on α)

Equivalence of cost functions

Let $f, g : D \rightarrow \mathbb{N} \cup \{\infty\}$ be two cost functions over the same domain.

Domination and equivalence of cost functions:

$$\begin{aligned} f \preceq g &\Leftrightarrow \forall X \subseteq D : g \text{ bounded on } X \rightarrow f \text{ bounded on } X \\ f \approx g &\Leftrightarrow f \preceq g \text{ and } g \preceq f \end{aligned}$$

Example: $f, g : \{a, b\}^* \rightarrow \mathbb{N} \cup \{\infty\}$ with

$$\begin{aligned} f(w) &= 2 \cdot |w|_a \\ g(w) &= |w| \end{aligned}$$

Then $f \preceq g$ but not $g \preceq f$ because f is bounded on b^* but g is not.

History determinism

In the classical theory of automata on infinite trees, deterministic ω -automata play an important role because they can be composed with tree automata and games.

Cost- ω -automata cannot be determinized, in general.

There is the weaker notion of history-determinism (or good-for-games) that still admits composition with tree automata and games.

A construction of history-deterministic cost-automata on finite words is given in [CF16] (see talk of N. Fijalkow).

Theorem (Colcombet, unpublished). For each nondeterministic B- or S-parity automaton over infinite words, one can construct an equivalent history-deterministic B- or S-parity automaton.

Cost-parity alternating tree automata (cost-PATA)

- transition function of the form $\delta(q, a) = \varphi$ where φ is a positive Boolean combination of atoms (d, q, γ) with

direction $d \in \{0, 1\}$, state q , and counter action $\gamma : \Gamma \rightarrow Op^*$

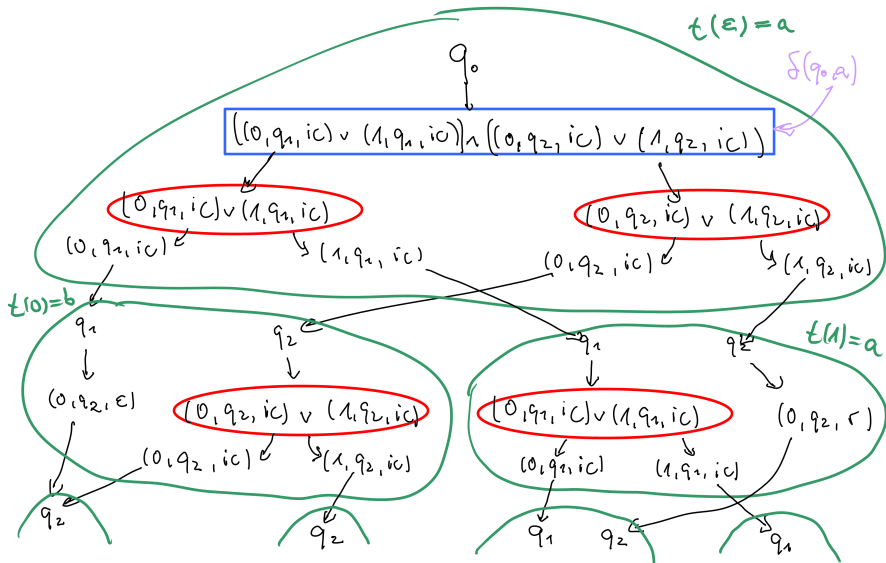
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- semantics on a tree t via a game $\mathcal{G}_{\mathcal{A}, t}$:
 - Positions of the form (q, u) with state q and tree node u .
 - Let $\delta(q, t(u)) = \varphi$.
 - Players Automaton and Pathfinder determine an atom of the formula where Automaton resolves disjunctions, and Pathfinder resolves conjunctions.
 - If an atom (d, q', γ) is reached, the game continues in (q', ud) .
- We write σ_A, σ_P for strategies of Automaton, Pathfinder.

Illustration of $\mathcal{G}_{A,t}$



Semantics of cost-PATA

- A play α in $\mathcal{G}_{\mathcal{A},t}$ has a corresponding path, state sequence, and sequence of counter actions.

B-semantics:

$$\text{cost}^{\text{B}}(\alpha) = \begin{cases} \infty & \text{if } \alpha \text{ does not satisfy the parity condition} \\ \sup\{\text{counter values on } \text{c-positions}\} & \text{otherwise} \end{cases}$$

S-semantics:

$$\text{cost}^{\text{S}}(\alpha) = \begin{cases} 0 & \text{if } \alpha \text{ does not satisfy the parity condition} \\ \inf\{\text{counter values on } \text{c-positions}\} & \text{otherwise} \end{cases}$$

- B- and S-semantics $\mathcal{A}^{\text{B}}, \mathcal{A}^{\text{S}} : \text{trees} \rightarrow \mathbb{N} \cup \{\infty\}$

$$\begin{aligned} \mathcal{A}^{\text{B}}(t) &= \inf_{\sigma_A} \sup_{\alpha \in \sigma_A} \text{cost}^{\text{B}}(\alpha) \\ \mathcal{A}^{\text{S}}(t) &= \sup_{\sigma_A} \inf_{\alpha \in \sigma_A} \text{cost}^{\text{S}}(\alpha) \end{aligned}$$

Domination and limitedness

Domination problem:

Given two automata computing cost functions f_1 and f_2 , decide if

$$f_1 \preceq f_2$$

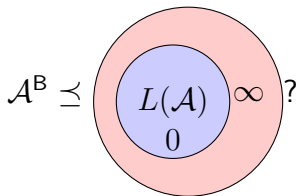
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For example, the limitedness problem for a B-PATA $\mathcal{A}$ is the domination problem



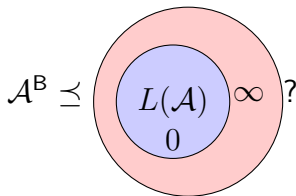
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Open question: Is the domination problem for cost-PATA decidable?

A first decidability result

Theorem ([CL10,VB11,VB12]). The domination problem $f_1 \preceq f_2$ is decidable if f_1 is given by an S-PNTA $\mathcal{A}_1$, and f_2 is given by a B-PNTA $\mathcal{A}_2$.

(The result in this form is stated in [VB11]. A detailed proof can be found [VB12]. The same technique is used in [CL10] for finite trees.)

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Idea: The situation is similar to checking $L_1 \subseteq L_2$ for standard regular tree languages if

- L_1 is given by a PNTA, and
- L_2 is given by a PNTA for the complement $\overline{L_2}$ of L_2 .

$\leadsto$ intersection emptiness game for $L_1 \cap \overline{L_2}$ on the product automaton.

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↪ intersection emptiness game for $L_1 \cap \overline{L_2}$ on the product automaton.

Consequence: If we can transform arbitrary cost-PATAs into S-PNTAs and B-PNTAs, then we can solve the general domination problem.

Overview

$S\text{-PNTA}$ $\preceq$ $B\text{-PNTA}$ ^{decidable}

$S\text{-PATa}$

$B\text{-PATa}$

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Transformations between alternating automata

For alternating automata, one can effectively translate between S- and B- automata.

Theorem ([CL10,VB11,VB12]). Given a B-, or S-PATA, one can effectively construct an S-, or B-PATA defining an equivalent cost function.

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Idea for B-PATA $\rightarrow$ S-PATA

$$\mathcal{A}^B(t) = \inf_{\sigma_A} \underbrace{\sup_{\alpha \in \sigma_A} cost^B(\alpha)}_{\text{value of } \sigma_A}$$

- For $N \in \mathbb{N}$ let $\mathcal{G}_{\mathcal{A},t}^N$ be the win-lose game that is won by Automaton if she has a strategy of value $\leq N$.
- By determinacy of $\mathcal{G}_{\mathcal{A},t}^N$: $\mathcal{A}^B(t) = \sup_{\sigma_P} \inf_{\alpha \in \sigma_P} cost^B(\alpha)$

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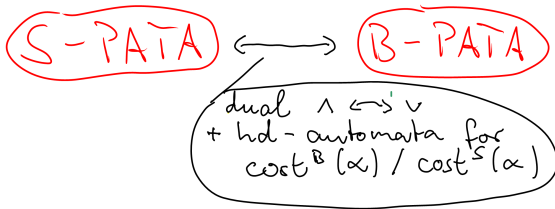
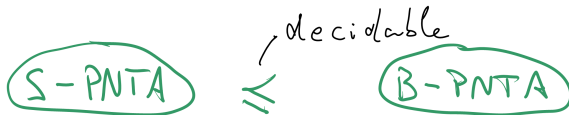
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- Obtain $\tilde{\mathcal{A}}$ from $\mathcal{A}$ by exchanging $\wedge$ and $\vee$ in the transition function $\leadsto$ strategies σ_P of Pathfinder in $\mathcal{G}_{\mathcal{A},t}$ become strategies $\tilde{\sigma}_A$ of Automaton in $\mathcal{G}_{\tilde{\mathcal{A}},t}$, and thus
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$$\mathcal{A}^B(t) = \sup_{\tilde{\sigma}_A} \inf_{\alpha \in \tilde{\sigma}_A} cost^B(\alpha)$$
- This is almost the S-semantics of $\tilde{\mathcal{A}}$, except for $cost^B(\alpha)$.
- Compose $\tilde{\mathcal{A}}$ with a history deterministic ω -automaton that computes $cost^B(\alpha)$ using S-semantics.

Overview



From alternating to nondeterministic B-automata

Semantics of a B-PATA: $\mathcal{A}^B(t) = \inf_{\sigma_A} \sup_{\alpha} cost^B(\alpha)$

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Theorem. For a given B-PATA $\mathcal{A}$, and a given memory size m , one can construct a B-PNTA for the cost function $\mathcal{A}_{A,m}^B$.

Proof: Same technique as for de-alternation of standard PATAs: Write strategy on the tree. Build B-PNTA computing value of tree with strategy annotation, using a history deterministic ω -automaton running along the path. Project away strategy annotation. $\square$

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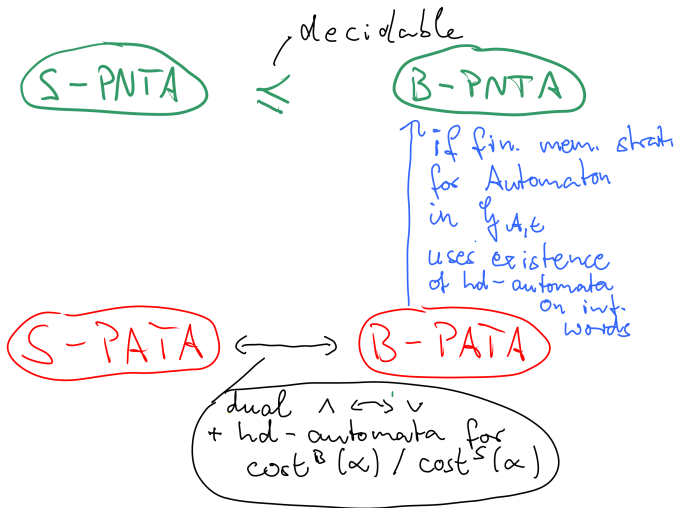
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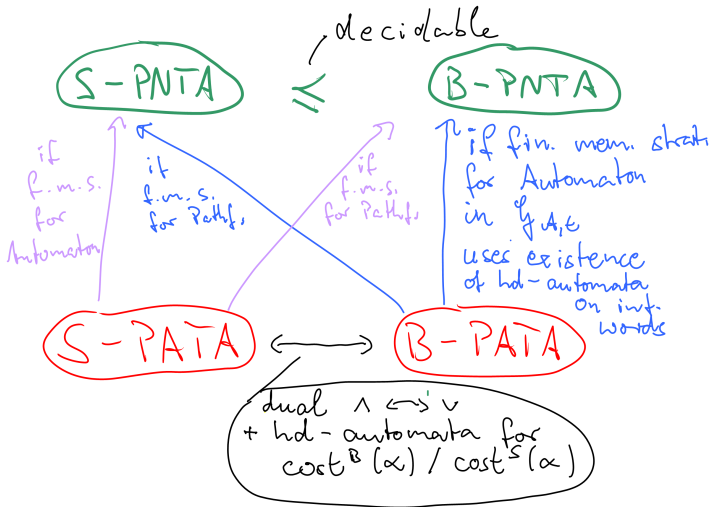
(This technique is used for finite trees in [CL10] and for weak automata on infinite trees in [VB11]/[VB12].)

Overview



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The same technique works for the other conversions:



Known finite memory results

Original conjecture: Games with B-parity conditions admit finite memory strategies on arbitrary arenas, with a memory size only depending on the number of counters and priorities.

Has been falsified [FHKS15] (see the talk of M. Skrzypczak)

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Player I = Automaton

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player	counter		condition	arena	reference
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I	arbitrary	B	Büchi	chronological	[VB12]
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II	arbitrary	B	co-Büchi	chronological	[VB12]
I	arbitrary	B	parity	thin tree	[FHKS15]

Implications for decidability

Relying on the finite memory results from [VB12], the following theorem is stated in [CKLVB13]:

Theorem. The domination problem $f_1 \preceq f_2$ is decidable if f_1 is given by a B-coBüchi ATA, and f_2 by a B-Büchi ATA.

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The result has been strengthened to an extension of cost WMSO with an additional fixpoint operation [BCKPVB14]. The proof uses quasi weak alternating automata [KVB11] and a transformation of two-way to one-way automata.

Conclusion

- Research on boundedness problems for tree automata, originally motivated by the parity index problem.
- Many interesting techniques have been developed in analogy to the standard theory of automata on infinite trees:
 - Composition of cost- ω -automata with games/tree automata: history-determinism
 - B-PATA $\leftrightarrow$ S-PATA: like complementation of alternating tree automata by dualization
 - cost-PATA $\rightarrow$ cost-PNTA: same proof strategy as in classical theory, requires finite memory determinacy
- Partial results, like decidability of domination for weak alternating cost automata and weak cost-MSO.
- The general problem of decidability of domination remains open.

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